SEC Form 4
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 $\Box$ 

(Street)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>PERCEPTIVE ADVISORS LLC</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Aldeyra Therapeutics, Inc.</u> [ ALDX ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner					
						3. Date of Earliest Transaction (Month/Day/Year) 01/19/2021								Officer (give title Other (specify below) below)					
						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City) (State) (Zip)															-				
1 Title of	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of								7. Nature of										
Date				/Year)   Executi		cution D	ition Date, h/Day/Year)		iction Instr.	Disposed Of (D) (In 5)		tr. 3, 4 a	nd Securit Benefic Owned Reporte	es ially Following d	Form: Direct (D) or Indirect (I) (Instr. 4)		Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) o (D)	r Price	Transad (Instr. 3					
Common	Stock			01/19/20	)21				Р		1,368,421	A	\$9	.5 8,70	3,879			See Footnote <sup>(1)</sup>	
		Tal	ble II								oosed of, o				d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execu if any	eemed ution Date, ' th/Day/Year)	4. Trans Code 8)		n of . Deri Secu Acqu (A) of Disp	osed ) r. 3, 4	Expira	te Exer ation I th/Day		7. Title Amou Securi Under Deriva Securi 3 and	nt of ities lying itive ity (Instr	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownersh Form: Direct (D) or Indirec (I) (Instr. )	Beneficial Ownership t (Instr. 4)	
					Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amoun or Numbe of Shares	1					
		f Reporting Person <sup>*</sup> ADVISORS I	LC																
(Last)		(First) , 10TH FLOOR		/iddle)		_													
(Street) NEW Y	ORK	NY	1	0003															
(City)		(State)	(Z	Zip)															
	EPTIVE I	f Reporting Person <sup>*</sup> LIFE SCIENC		<u>MASTEI</u>	<u>R</u>														
(Last) 51 ASTO	OR PLACE	(First) , 10TH FLOOR	(N	/liddle)															
(Street) NEW Y	ORK	NY	10	0003															
(City)		(State)	(Z	Zip)															
	nd Address o MAN JOS	f Reporting Person <sup>*</sup> SEPH				_													
(Last) 51 AST	OR PLACE	(First) , 10TH FLOOR	(N	/iddle)															

NEW YORK	NY	10003
(City)	(State)	(Zip)

## Explanation of Responses:

1. The securities are directly held by Perceptive Life Sciences Master Fund Ltd. (the "Master Fund"). Perceptive Advisors LLC (the "Advisor") serves as the investment manager of Master Fund. Joseph Edelman is the managing member of the Advisor. Each of Mr. Edelman and the Advisor disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his/its indirect pecuniary interest therein, and this report shall not be deemed an admission that either Mr. Edelman or the Advisor is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

/s/ Joseph Edelman - for Perceptive Life Sciences Master Fund Ltd., By: Perceptive Advisors LLC, its 01/21/2021 investment manager, By: Joseph Edelman, its managing member /s/ Joseph Edelman - for Perceptive Advisors LLC, By: 01/21/2021 Joseph Edelman, its managing member /s/ Joseph Edelman 01/21/2021 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.