SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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			of Beeach Be(n) of the investment Company Act of 1040						
1. Name and Add	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Aldeyra Therapeutics, Inc.</u> [ALDX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
TREU JESS	<u>5E I</u>		<u> </u>	X Director 10% Owne	er				
				Officer (give title Other (spe	cify				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 06/04/2019	below) below)					
C/O DOMAIN	ASSOCIATE	S, LLC	00/04/2015						
202 CARNEGIE CENTER, SUITE 104									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applica Line)	able				
(Street)				X Form filed by One Reporting Person					
PRINCETON	NJ	08540		Form filed by More than One Reporting Person	g				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Code (Instr. 8) Code (Instr. 9) Code (Instr. 10) Code			ve es d ed nstr.	6. Date Exerci: Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$7.06	06/04/2019		Α		18,951		06/04/2020 ⁽¹⁾	06/03/2029	Common Stock	18,951	\$0	18,951	D	
Stock Option (right to buy)	\$7.06	06/04/2019		Α		1,763		06/04/2020 ⁽²⁾	06/03/2029	Common Stock	1,763	\$0	1,763	D	
Stock Option (right to buy)	\$7.06	06/04/2019		A		1,653		06/04/2020 ⁽³⁾	06/03/2029	Common Stock	1,653	\$ <mark>0</mark>	1,653	D	

Explanation of Responses:

1. The option is exercisable in full on the one-year anniversary of the grant date, subject to the Reporting Person's continuous service to the Issuer as a member of the Board of Directors through such vesting date.

3. The option is exercisable in full on the one-year anniversary of the grant date, subject to the Reporting Person's continuous service to the Issuer as a member of the Audit Committee of the Board of Directors through such vesting date.

Remarks:

<u>/s/ Lisa A. Kraeutler, Attorney-</u> <u>in-Fact</u> 06/06/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.