SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Sect	1011 30(II) 0	f the Investment Company Act of 19	940			
1. Name and Address of Reporting Person* 2. Date of Event Requiring Staten (Month/Day/Year 05/01/2014				nent	3. Issuer Name and Ticker or Trading Symbol Aldeyra Therapeutics, Inc. [ALDX]				
(Last) (First) (Middle) C/O DOMAIN ASSOCIATES, LLC					4. Relationship of Reporting Perso (Check all applicable) Director X	on(s) to Issue 10% Owne	(1	. If Amendment, D Month/Day/Year)	ate of Original Filed
ONE PALMER SQUARE				Officer (give title Other (specify 6. Individual or Joint/Gro			t/Group Filing (Check		
					below)	below)		pplicable Line)	y One Reporting Person
(Street) PRINCETON NJ	08542								y More than One
(City) (Sta	ate) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direct or Indirect (Instr. 5)	cṫ(D) (In	Nature of Indirect str. 5)	Beneficial Ownership
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
, , , ,			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit				6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Derivative Security	vative or Indirect		
Series A Preferred	Stock		(4)	(4)	Common Stock	9,208	(4)	D ⁽¹⁾⁽²⁾⁽³⁾	
1. Name and Address DP VI Associa									
(Last) (First) (Middle) C/O DOMAIN ASSOCIATES, LLC ONE PALMER SQUARE									
(Street) PRINCETON	NJ	08542							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person [*] BLAIR JAMES C									
(Last) C/O DOMAIN AS ONE PALMER SO		(Middle)							
(Street) PRINCETON	NJ	08542							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person [*] <u>DOVEY BRIAN H</u>									
(Last) (First) (Middle) C/O DOMAIN ASSOCIATES, LLC ONE PALMER SQUARE									
(Street) PRINCETON	NJ	08542							

(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] TREU JESSE I							
(Last)	(First)	(Middle)					
C/O DOMAIN ASSOCIATES, LLC							
ONE PALMER SQUARE							
(Street) PRINCETON	NJ	08542					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person* <u>SCHOEMAKER KATHLEEN K</u>							
(Last)	(First)	(Middle)					
C/O DOMAIN ASSOCIATES, LLC							
ONE PALMER SQUARE							
(Street)							
PRINCETON	NJ	08542					
(City)	(State)	(Zip)					
1. Name and Address							
VITULLO NICOLE							
(Last)	(First)	(Middle)					
C/O DOMAIN ASSOCIATES, LLC							
ONE PALMER SQUARE							
(Street)							
PRINCETON	NJ	08542					
(City)	(State)	(Zip)					

Explanation of Responses:

1. The securities reported as directly beneficially owned by the designated Reporting Person may be deemed to be indirectly beneficially owned by each of the Reporting Owners listed below, each of whom is a managing member of One Palmer Square Associates VI, LLC, the sole general partner of the designated Reporting Person. Pursuant to Instruction 5(b)(iv) of Form 3, each such individual has elected to report as indirectly beneficially owned the entire number of securities owned by the designated Reporting Person, however each of them disclaims beneficial ownership of any securities, and any proceeds thereof, that exceed his or her pecuniary interest therein and/or that are not actually distributed to him or her.

2. As managing members of Domain Associates, LLC, each Reporting Owner listed below may also be deemed to indirectly beneficially own the securities of the Issuer held by Domain Associates, LLC, as reported on a Form 3 for Domain Associates, LLC filed on the same date as this Form 3.

3. As managing members of the sole general partner of Domain Partners VI, L.P., each Reporting Owner listed below may also be deemed to indirectly beneficially own the securities of the Issuer held by Domain Partners VI, L.P., as reported on a Form 3 for Domain Partners VI, L.P. filed on the same date as this Form 3.

4. All outstanding shares of the Issuer's preferred stock will automatically convert into Common Stock immediately following declaration of effectiveness of the Issuer's Registration Statement for no additional consideration. Each share of Series A Preferred Stock will convert into shares of Common Stock on a 2.3726-for-1 basis.

Remarks:

/s/ Kathleen K. Schoemaker, as managing Member of One Palmer Square Associates VI, LLC, General Partner of DP VI Associates, L.P., individually and as attorney-in-fact for J. Blair, B. Dovey, J. Treu and N. Vitullo

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.