SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

	OMB APPI	ROVAL					
	OMB Number:	3235-0287					
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to Sec obligat	this box if no lo tion 16. Form 4 ions may contin tion 1(b).	or Form 5	ST/		l pursua	ant to \$	Section	n 16(a	a) of the	Secu	rities Exchang	je Act d	of 1934	ERS	SHIP	Es		ber: average b esponse:		0.5
		Reporting Person*	LC								g Symbol <u>C.</u> [ALDX]			elationship ck all app Direc	licable)	, in the second s		o Issue Owner	
L (Last) (Hirst) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 07/09/2020									Officer (give title Other (specify below) below)						
(Street) NEW YORK NY 10003				4. lf /										5. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting						
(City)	(St	ate) (2	Zip)											Λ	Perso	on				
		Table	I - N	on-Deriva	ative	Secu	rities	s Ac	quire	d, Di	sposed of	, or E	Benefi	cial	ly Own	ed				
Date			2. Transactic Date (Month/Day/	/Year) if any		ution Date,		3. Transaction Code (Instr. 8)			Acquired (A) or (D) (Instr. 3, 4 an			Reporte	es ally Following d	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)		7. Natu Indired Benefi Owner (Instr.	ct icial rship	
<u> </u>	Cto als							Code	v	Amount	(A) o (D)			Transaction(s) (Instr. 3 and 4)				See		
Common	Stock			07/09/20		P 3,200,000 A \$4.25 6,285,458 I									1	Foot	note ⁽¹⁾			
		Tal	ble II								posed of, convertib				Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exect if any	eemed ution Date, , th/Day/Year)	4. Transa Code 8)		of Derin Secu Acqu (A) o Disp of (D	osed)) tr. 3, 4	Expir (Mon	ation	rcisable and Date /Year)	e Amount o		D S (II	Price of verivative ecurity nstr. 5)	9. Numb derivativ Securitie Benefici Owned Followir Reporte Transac (Instr. 4)	ve es ally ng d tion(s)	10. Ownersl Form: Direct (E or Indire (I) (Instr.	hip of Be D) Ov ect (In	L. Nature Indirect eneficial wnership nstr. 4)
					Code	v	(A)	(D)	Date	cisable	Expiration Date	Title	Amour or Numbe of Shares	er						
		Reporting Person [*]	<u>.LC</u>		<u></u>														i	
(Last) 51 AST		(First) , 10TH FLOOR	(N	/liddle)																
(Street) NEW Y	ORK	NY	1	0003																
(City)		(State)	(Z	Zip)																
	EPTIVE I	Reporting Person [*]	<u>CES :</u>	MASTEI	<u>R</u>															
	RCEPTIVE	(First) ADVISORS LL , 10TH FLOOR		/iddle)																
(Street) NEW Y	ORK	NY	1	0003		-														
(City)		(State)	(Z	Zip)		_														
	nd Address of MAN JOS	Reporting Person [*]																		
(Last) C/O PEF		(First) ADVISORS LL		/liddle)																

51 ASTOR PLACE, 10TH FLOOR

(Street) NEW YORK	NY	10003
(City)	(State)	(Zip)

Explanation of Responses:

1. The securities are directly held by Perceptive Life Sciences Master Fund Ltd. (the "Master Fund"). Perceptive Advisors LLC (the "Advisor") serves as the investment manager of Master Fund. Joseph Edelman is the managing member of the Advisor. Each of Mr. Edelman and the Advisor disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his/its indirect pecuniary interest therein, and this report shall not be deemed an admission that either Mr. Edelman or the Advisor is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

Remarks:

/s/ Perceptive Life SciencesMaster Fund Ltd., By:Perceptive Advisors LLC, its
investment manager By:Joseph Edelman, its managing
member/s/ Perceptive Advisors LLC,
By: Joseph Edelman, itsBy: Joseph Edelman, itsmanaging member/s/ Joseph Edelman/s/ Joseph

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.